

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Response	s)														
Name and Address of Reporting Person   Lee Edward Shihai				2. Issuer Name and Ticker or Trading Symbol FOCUS UNIVERSAL INC. [FCUV]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  X Director (Check all applicable)						
(Last) (First) (Middle) C/O FOCUS UNIVERSAL INC., 2311 LOCUST STREET				3. Date of Earliest Transaction (Month/Day/Year) 01/07/2021						X_ Director X_ 10% Owner X_ Officer (give title below) Other (specify below)  President and Director					
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing/Check Applicable Line) X Form filed by One Reporting Person					
ONTARIO, CA 91											Form filed by More than Or	ne Reporting Pers	on		
(City) (State) (Zip)			(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Y	Execution Date, it		if (Instr. 8)		e 4. Securities Acquired (2 Disposed of (D) (Instr. 3, 4 and 5)		(A) or	5. Amount of Securities Following Reported Tran (Instr. 3 and 4)				7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	V	Amount	(A) or (D)	Price				(I) (Instr. 4)	(
Common stock			01/07/2021			G <sup>(1)</sup>		142,800	D	(1)	6,657,200			D (11)	
Common stock			01/07/2021			G <sup>(1)</sup>		142,800	A	<u>(1)</u>	1,701,800			I	See Footnote
Common stock			02/01/2021			G <sup>(2)</sup>		125,000	D	<u>(2)</u>	6,532,200			D (2)	
Common stock			02/01/2021			G <sup>(2)</sup>		125,000	A	<u>(2)</u>	1,826,800			I	See Footnote
Common stock			02/04/2021			G(3)		125,000	D	<u>(3)</u>	6,407,200			D (3)	
Common stock			02/04/2021			G <sup>(3)</sup>		125,000	A	(3)	1,951,800			I	See Footnote (3)
Common stock			02/10/2021			G <sup>(4)</sup>		125,000	D	<u>(4)</u>	6,282,200			D (4)	
Common stock			02/10/2021			G <sup>(4)</sup>		125,000	A	<u>(4)</u>	2,076,800			I	See Footnote
Common stock			02/10/2021	1 G <sup>(5)</sup>			125,000	D	<u>(5)</u>	6,157,200			D (5)		
Common stock			02/10/2021			G <sup>(5)</sup>		125,000	A	<u>(5)</u>	125,000			I	See Footnote
Common stock			02/10/2021			G <sup>(6)</sup>		125,000	D	<u>(6)</u>	6,032,200			D 66	
Common stock			02/10/2021			G(6)		125,000	A	<u>(6)</u>	125,000			I	See Footnote
Common stock			03/01/2021			G <sup>(7)</sup>		250,000	D	<u>(7)</u>	5,782,200			D (7)	
Common stock			03/01/2021		G <sup>(7)</sup>			250,000	A	(7)	375,000			I	See Footnote
Common stock			03/01/2021			G <sup>(8)</sup>		250,000	D	(8)	5,532,200			D (8)	
Common stock			03/01/2021	03/01/2021		G(8)	G <sup>(8)</sup> 250,000 A (8) 375,000			I	See Footnote				
Common stock			03/03/2021			G <sup>(9)</sup>		375,000	D	<u>(9)</u>	5,157,200			D (9)	
Common stock 03/0			03/03/2021			G(9)		375,000	A	<u>(9)</u>	2,451,800			I	See Footnote
Reminder: Report on a	senarate line for	each class of counitie	es heneficially over	ned direc	tly or indirec	lv									
Reminder. Report on a	separate inic for	cach class of securitie	s beneficially own	icu direc	ny or manec	iy.		equired to re			ection of information on the form displays a cur			s SEC	1474 (9-02)
				. (	z.g., puts, cal	curities Acqui ls, warrants, o		convertible so	curities)					ı	-
1. Title of Derivative Security (Instr. 3)	or Exercise Date Exercise Price of (Month/Day/Year) any		3A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.	3) I	acquired (A) or Disposed of (D)	rivative Securities quired (A) or		and Expiration Date		e and Amount of lying Securities 3 and 4)	Derivative Security (Instr. 5) Ber Ow	9. Number of Derivative Securities Beneficially Owned	10. Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)		

# **Reporting Owners**

	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			

Lee Edward Shihai C/O FOCUS UNIVERSAL INC. 2311 LOCUST STREET	X	X	President and Director	
ONTARIO, CA 91761				ı

## **Signatures**

/s/ Gilbert J. Bradshaw, Attorney-in-Fact for Edward Lee	03/10/2021
Signature of Reporting Person	Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person transferred 142,800 shares of the Issuer's Common Stock to the Reporting Person and Jennifer Gu, the Reporting Person's Spouse, as trustees of the Lee-Gu Family Foundation dated January 7, 2021.
- (2) The Reporting Person transferred 125,000 shares of the Issuer's Common Stock to the Reporting Person and Jennifer Gu, the Reporting Person's Spouse, as trustees of the Lee-Gu Family Foundation dated February 1, 2021.
- (3) The The Reporting Person transferred 125,000 shares of the Issuer's Common Stock to the Reporting Person and Jennifer Gu, the Reporting Person's Spouse, as trustees of the Lee-Gu Family Foundation dated February 4, 2021.
- (4) The Reporting Person transferred 125,000 shares of the Issuer's Common Stock to the Reporting Person and Jennifer Gu, the Reporting Person's Spouse, as trustees of the Lee-Gu Family Foundation dated February 10, 2021.
- (5) The Reporting Person transferred 125,000 shares of the Issuer's Common Stock to the Brandon Lee Irrevocable Trust, the beneficiary of which is the Reporting Person's child. The Reporting Person may be deemed to have indirect beneficial ownership of 125,000 shares of Common Stock through his child dated February 10, 2021.
- (6) The Reporting Person transferred 125,000 shares of the Issuer's Common Stock to the Isabella Lee Irrevocable Trust, the beneficiary of which is the Reporting Person's child. The Reporting Person may be deemed to have indirect beneficial ownership of 125,000 shares of Common Stock through his child dated February 10, 2021.
- (7) The Reporting Person transferred 250,000 shares of the Issuer's Common Stock to the Brandon Lee Irrevocable Trust, the beneficiary of which is the Reporting Person's child. The Reporting Person may be deemed to have indirect beneficial ownership of 250,000 shares of Common Stock through his child dated March 1, 2021.
- (8) The Reporting Person transferred 250,000 shares of the Issuer's Common Stock to the Isabella Lee Irrevocable Trust, the beneficiary of which is the Reporting Person's child. The Reporting Person may be deemed to have indirect beneficial ownership of 250,000 shares of Common Stock through his child dated March 1, 2021.
- (9) The Reporting Person transferred 375,000 shares of the Issuer's Common Stock to the Reporting Person and Jennifer Gu, the Reporting Person's Spouse, as trustees of the Lee-Gu Family Foundation dated March 3, 2021.

#### Remarks

The Reporting Person made these changes for estate planning purposes, but he could only medallion signature guarantee certain amounts of shares per week which why there are multiple transfers.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.